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7

8 *Abstract: Franklin and Johnson (2012) proposed a restoration framework for Pacific*
9 *Northwest forests based on principles of “ecological forestry” that are being*
10 *incorporated into federal management plans without rigorous testing of assumptions and*
11 *sufficient input from ecologists. We review their proposals and identify seven major areas*
12 *where there may be conflict with ecological restoration, biodiversity conservation, and*
13 *management of fish and wildlife resources. The most significant shortcomings of their*
14 *approach is that it appears to be motivated largely by economic outputs and political*
15 *pressures to increase logging on federal lands, uses inappropriate baselines for*
16 *restoration, will degrade habitat for late-seral species like the northern spotted owl (*Strix**
17 *occidentalis caurina) and other wildlife, will increase aquatic impacts from extensive*
18 *thinning and road networks, and may create novel ecosystems that tip ecosystem*
19 *dynamics toward undesirable consequences for biological diversity. Ecological forestry*
20 *proposals will likely increase tensions over management of federal lands unless they are*
21 *substantially improved to address shortcomings. Thus, we propose 14 recommendations*
22 *for strengthening the ecological basis of future proposals for managing federal forests.*

23

24 **Key Words:** ecological restoration, ecological forestry shortcomings, complex early
25 seral forests, late-successional species, Pacific Northwest forests.

26

27

28

29 **Introduction**

30

31 Franklin and Johnson (2012) outlined elements of what they term an “ecological forestry”
32 strategy for federal forests in the Pacific Northwest. They posit their strategy will produce
33 ecological and economic benefits from federal forests in Oregon and Washington and that
34 economic returns are necessary for their widespread implementation. Thus, the strategy
35 relies heavily on commercial thinning and an unknown amount of regeneration harvests
36 to create economic returns. Many of their recommendations were recently incorporated
37 into the final recovery plan and critical habitat ruling for the northern spotted owl (USDI
38 Fish & Wildlife Service 2012), over repeated objections raised by The Wildlife Society,
39 American Ornithologists’ Union, and Society for Conservation Biology concerning
40 untested and risky active management proposals in owl habitat
41 (www.fws.gov/oregonfwo/Species/Data/NorthernSpottedOwl/CriticalHabitat/default.asp;
42 accessed July 12, 2013) .

43

44 Franklin and Johnson’s framework is based on managing forests under the premise that
45 they will be “restored,” while producing timber from sustained yield, yet their
46 recommendations do not adequately recognize fish and wildlife habitat needs, and they
47 rest on inappropriate ecological baselines for judging efficacy of restoration activities.
48 They do, however, acknowledge that their core strategies may face social opposition,
49 insufficient funding for implementation, restrictions due to impacts to spotted owls, and

50 policy conflicts with the sustained yield provisions of the National Forest Management
51 Act.

52

53 Here, we identify shortcomings of ecological forestry and how it is being implemented by
54 managers based on our knowledge of the region's ecology, habitat needs of the northern
55 spotted owl and other wildlife, and pertinent published literature related to conservation
56 biology, restoration ecology, and management of wildlife and aquatic resources. While
57 we believe some aspects of ecological forestry may improve on current management, the
58 framework places economic and political interests above ecological concerns in ways
59 likely to generate new controversies and unintended harmful ecological consequences for
60 natural resources.

61

62 **Importance of Pacific Northwest Forests**

63

64 Pacific Northwest forests include some of the most important temperate forests on earth.
65 They contain remaining concentrations of older forests that are currently well below
66 historical levels due to logging (Strittholt et al. 2006). Federal forests in this region are
67 known for exceptional biodiversity (DellaSala et al. 2011), carbon storage (Smithwick et
68 al. 2002), late-successional habitat for >1,000 associated species (FEMAT 1993),
69 including spotted owls, marbled murrelets (*Brachyramphus marmoratus*), and relatively
70 intact watersheds for numerous stocks of salmon (*Oncorhynchus* spp.). Due to heated
71 debate over what should be valued most in these "multiple-use" public forests,
72 management has been controversial and mistrust among stakeholders pervasive.

73

74 The Northwest Forest Plan (NWFP) is the foundation for management of federal land
75 across nearly 25 million acres (FEMAT 1993) and is considered a global model of
76 ecosystem management and biodiversity conservation (DellaSala and Williams 2006).
77 The NWFP eased controversy over logging of older forests on federal lands to some
78 degree. However, the decline in timber receipts to local counties has resulted in
79 considerable pressure to increase logging from county commissioners, Oregon Governor
80 John Kitzhaber, former Interior Secretary Ken Salazar, and most of the Oregon
81 congressional delegation. This political pressure is most apparent for the approximate 2.1
82 million acres of O&C (Oregon and California Revested Lands) lands managed by the
83 Bureau of Land Management (BLM) in western Oregon, which has a contentious history
84 (Blumm and Wigington 2013). In response to recent pressures, former Interior Secretary
85 Salazar initiated a series of “pilot projects” to implement ecological forestry in 2009,
86 which could become the foundation for resource management plans across all 2.5 million
87 acres of BLM lands in western Oregon and legislative proposals to address the O&C
88 counties’ fiscal issues through increased timber harvests (Wyden 2012).

89

90 **Positive Attributes of Ecological Forestry**

91

92 Franklin and Johnson’s (2012) framework recognizes the conservation importance of
93 late-successional forests on federal lands under the NWFP, which was reaffirmed in the
94 recovery plan and critical habitat rule for the spotted owl (e.g., USDI Fish and Wildlife
95 Service 2012). The importance of older, fire-resistant tree species in dry forests and the

96 need to protect older trees throughout the landscape is also recognized by them. They
97 reaffirm the NWFP's emphasis on thinning dense, younger (<80 yrs) plantations to
98 accelerate the acquisition of late-successional characteristics and increase the amount of
99 forests under long rotations. Early seral forests are acknowledged by them as an
100 important ecological stage and a distinction is made between forests created by industrial-
101 scale logging that are deficient in biological legacies and biodiversity versus those
102 generated by natural disturbances that are structurally complex and rich in biodiversity
103 (Swanson et al. 2011, DellaSala et al. in press). Franklin and Johnson also recommend a
104 credible adaptive management strategy whereby integrated monitoring and research
105 activities, regional analysis and planning, and systematic assessments of ecological and
106 social outcomes by independent parties are key elements. We generally agree with these
107 aspects of their framework but acknowledge that the details of some of this management
108 are yet to be described.

109

110 **Ecological Shortcomings of Ecological Forestry**

111

112 We identify seven major areas where the framework of ecological forestry or its
113 implementation by BLM may create adverse consequences to natural resources and
114 conflicts over forest management.

115

116 *1. Oversimplified Forest Classifications*

117 Franklin and Johnson (2012) stratify the landscape into moist (MF) and dry (DF) forests.

118 In MF, older stands are reserved and previously logged plantations are logged again using

119 variable retention regeneration harvests (VRHs). In DF, silvicultural treatments retain and
120 release older trees (>150 years old), reduce stand densities, shift composition toward fire-
121 and drought-tolerant species, and incorporate multi-scaled heterogeneity. Unfortunately,
122 the moist-dry classification and associated fire regimes are much too coarse and will
123 create on-the-ground uncertainties where forest communities are highly complex (i.e.,
124 fine-grained heterogeneity). For example, inclusion of mixed-conifer forests in the DF
125 type within the Klamath Province of southern Oregon and northern California will
126 subject these forests to inappropriate commercial thinning based on false notions that
127 these forests were historically more open canopies (see below). Plant communities and
128 fire regimes in this region vary widely across moisture gradients, soil types,
129 microclimates, slope exposure, elevation, and bedrock geology with different forest
130 patches grading into one another over short distances (i.e., high beta diversity, Odion et
131 al. 2004). Mixed-severity fires historically created landscape mosaics in this province that
132 included a portion of high severity burn patches (DellaSala 2006, Donato et al. 2009,
133 Halofsky et al. 2011) as well as those in the DF of the eastern Cascades (Hessburg et al.
134 2007, Baker 2012). These forests do not lend themselves to simplistic binary
135 classifications. We disagree with the generalization of Franklin and Johnson (2012) that
136 climate change is increasingly likely to shift plant associations toward the dry end of the
137 moisture spectrum where plant associations straddle gradients as this assumption is not
138 well-supported and discounts considerable regional climatic variation. For example, Mote
139 (2003) projected increased precipitation in some regions, including summer precipitation,
140 and uncertainties in climate change modeling.
141

142 *2. Lack of Clarity on Where to Draw the Line on Old Tree and Old Forest Retentions*
143 Franklin and Johnson recognize the importance of both mature (>80-159 yrs.) and old-
144 growth (160+ yrs.) MF but state that the age at which forests are “deemed older is a
145 social decision influenced but not defined by scientific input.” The goal of the NWFP is
146 to restore a functional, interconnected late-successional (both mature and old growth)
147 forest ecosystem as well as produce timber. This means building on the NWFP through
148 additional protections for old forests as recommended in critical habitat designations for
149 the spotted owl and marbled murrelet. It also requires clear tree protection standards for
150 older forests with greater recognition of mature forests (>80 years) given their rarity and
151 ecological importance (FEMAT 1993, Strittholt et al. 2006). Instead, Johnson and
152 Franklin (2009) analyzed various tradeoffs of setting tree protection thresholds at 80 to
153 160 years in MFs and >150 years in DFs, creating uncertainties in what to protect that has
154 resulted in implementation controversies and poor policy choices.

155
156 Such lack of clear tree protection standards has generated considerable mistrust among
157 stakeholders who monitor the management practices of BLM pilots in southwest Oregon
158 (Reilly 2013, Wheeler 2013; Photo panels a-d). For instance, of seven recent timber sales
159 monitored on BLM pilot sites (MF) by conservation groups, there were portions of
160 mature forests and owl critical habitat included in logging proposals and one logging site
161 was adjacent to a 450-year old forest occupied by nesting murrelets that will likely create
162 edge effects (Table 1). The net result of these sales was incidental “take” of 4 spotted
163 owls triggering project-level appeals. These are examples of how immediate economic
164 and political pressures have trumped older forest protections because mature forest

165 protections were not clearly defined by the guidelines of ecological forestry. Without
166 clear and ecologically appropriate age class restrictions, unintended ecological
167 consequences will occur in project implementation.

168

169 Another example is the O&C legislative principles proposed by Oregon Senator Ron
170 Wyden (2012), which cite Franklin and Johnson, and prescribe tree protection cutoffs at
171 120 years, thereby missing an important part of the mature forest cohort (80-120 years).
172 The ecological consequences of this cutoff are not evaluated and the guideline appears to
173 be economically and politically motivated, not ecological. For instance, mature forests
174 (80-120 years) —which are well below historical levels— play a critical role as foraging
175 and roosting habitat for spotted owls (Thomas et al. 1990). Without adequate protection
176 of these forests, a successional debt will accrue on federal lands overtime that will reduce
177 ecosystem resilience and habitat for hundreds of associated species.

178

179 The latest data from the BLM Forest Cover Operations Inventory for all western Oregon
180 BLM lands (including Public Domain, Acquired, Coos Bay Wagon Road, and O&C
181 lands) is a good example of how successional debt can accrue from not protecting older
182 forests in such policy formulation. For instance, these data indicate that the highest
183 proportion (43%) of the BLM lands are <80 years old, whereas mature forests (80-120
184 years) account for only 15%, forests 120-150 years account for 11%, and old growth
185 (>150 years) accounts for 24% of BLM lands (Figure 1a). Legislating ecological forestry
186 provisions as proposed (Wyden 2012) would fail to protect the severely under
187 represented mature forest (80-120 years) cohort. Thus, many of the 395,000 acres in this

188 age bracket (moist and dry) would potentially be vulnerable to increased logging.
189 Further, if the age-limit for logging DF was set at 150 years, as proposed by Franklin and
190 Johnson (2012), up to 215,200 acres of DF (80-150) would be potentially at risk (Figure
191 1b). Importantly, both the critical habitat rule and recovery plan for the spotted owl
192 recommended protecting structurally complex older forests; thus, many mature forests
193 with important habitat attributes could be eliminated by logging under both proposals.
194 Notably, the total amount of mature forest acres open to logging ultimately depends on
195 how spotted owl recovery action 32 and other NWFP regulations and environmental laws
196 are interpreted and maintained. Nonetheless, targeting mature forests for logging would
197 mean federal lands would never attain adequate habitat levels for numerous species
198 associated with late-successional forests.

199

200 *3. Lack of Appropriate Baseline Compromises Restoration in Mixed Severity Fire*
201 *Regions*

202 Franklin and Johnson's (2012) approach to restoration focuses on commercial thinning to
203 achieve desired conditions; however, for restoration to be ecologically based, foresters
204 need an appropriate baseline from which to gauge the efficacy of restorative actions. For
205 instance, under ecological forestry what does a restored site look like if not compared to
206 an appropriate reference condition (e.g., comparable area of high ecological integrity,
207 DellaSala et al. 2003) or historical baseline? How will managers know when a site is
208 restored given the long time periods necessary to restore degraded sites?

209

210 In particular, baseline studies in the Klamath-Siskiyou ecoregion have questioned dry

211 fuel models that are being incorrectly applied to justify VRHs and thinning in BLM
212 pilots. For example, fire regimes in this region are of mixed severity (DellaSala 2006,
213 Halofsky et al. 2011), are within historical bounds (Colombaroli and Gavin 2010), and
214 open plant communities were of minor importance historically (Leiberg 1900, Duren et
215 al. 2012). Hessburg et al. (2007) and Baker (2012) also demonstrated that small (<16 in
216 dbh) trees were abundant historically and actually numerically dominant in forests east of
217 the Cascades in Oregon and Washington, and that open stands were less common than
218 assumed. Thus, this lack of appropriate baseline may result in approaches that appear
219 restorative because they are based on presumed historical conditions but that incorrectly
220 calibrate a forest stand against a baseline that instead represents significant departures
221 from an earlier state not considered (Papworth et al. 2009), and that could lead to novel
222 ecosystems (Figure 2). Novel ecosystems—systems that have been sufficiently altered in
223 structure and function most often by human action—can favor non-native species and flip
224 ecosystem dynamics to altered states (Lindenmayer et al. 2011). The altered state may
225 not be resilient to climate change due to accumulating land-use stressors, particularly
226 from multiple stand entries that can compound effects of ecological perturbations (Paine
227 et al. 1998).

228

229 Franklin and Johnson (2012) and many managers assume the absence of fire at the stand
230 or landscape level constitutes an *a priori* risk due to a build-up of hazardous fuels in dry
231 forests. However, empirical studies have not shown this to be the case in the Klamath-
232 Siskiyou ecoregion (Odion et al. 2004, Halofsky et al. 2011) where fire severity declined
233 as time between fire return intervals increased (Odion et al. 2010). Thus, the more

234 complex systematics and processes at play in regions of mixed-severity fires require
235 precautionary principles that first define and then test assumptions about baselines before
236 deciding on what desired future conditions should be, let alone the interventions
237 necessary to attain them.

238

239 *4. Impacts to Aquatic Ecosystems Will Likely Increase*

240 Franklin and Johnson (2012) acknowledged they did not adequately address aquatic and
241 riparian impacts, and this omission error can be costly to aquatic ecosystems in
242 implementation. Freshwater and forest ecosystems share the same landscape. Because
243 water quality and habitat conditions for fish and wildlife are determined in part by the
244 condition of roads, vegetation, and erosion processes across the landscape, any forest
245 management plan or conceptual framework should account for these factors *a priori*. For
246 instance, Colomborali and Gavin (2010) offered a critical environmental context across a
247 2000-year sediment core record where logging events over the past century have pushed
248 sedimentation rates far outside the range attributable to fires and climate variability.

249

250 Implementing the timber prescriptions of Franklin and Johnson (2012) would create a
251 need to maintain or expand the already extensive road system. Yet, roads and associated
252 landings are the primary cause of landslides and chronic elevation of sediment delivery to
253 streams, lakes, and wetlands (Gucinski et al. 2001). Roads permanently distort surface
254 and subsurface drainage patterns that may trigger slope failure and channel erosion.
255 Forest roads deliver sediment- and nutrient-laden runoff directly to surface drainage
256 networks. Road densities are currently very high on previously logged lands in western

257 Oregon (Firman et al. 2011), and agency resources are already insufficient to maintain
258 the existing road network to prevent ongoing harm to watersheds. Stream conditions have
259 improved markedly only where large reductions of roads have occurred under the NWFP
260 (Reeves et al. 2006). Climate change forecasts indicate increasing hydrologic stress on
261 road systems that will place additional strain on watershed resilience in the future
262 (Furniss et al. 2010). Whatever the silvicultural objective, any restoration-focused
263 management must reduce the forest road network and its impact on streams. Moreover,
264 depletion of near- and medium-term large-wood recruitment can result from thinning in
265 and near riparian areas (Spies et al. 2013), and more extensive ground disturbance from
266 logging in and near headwater riparian areas will likely increase chronic sediment
267 delivery to streams (Rashin et al 2006).

268

269 *5. Impacts to Northern Spotted Owls Are Grossly Underestimated*

270 Extensive commercial thinning and/or regeneration harvest in stands >80 years will
271 degrade spotted owl habitat with likely negative consequences on their movements and
272 habitat use (Forsman et al. 1984, Thomas et al. 1990, Meimann et al. 2003). Spotted owls
273 nest and roost in forests with high canopy closure, large trees, large woody debris, and
274 vertical and horizontal diversity in stand structure (Thomas et al. 1990), all characteristics
275 that thinning and logging will affect negatively. Franklin and Johnson (2012) assume that
276 skips and gaps in thinning and retention of dense patches in places will provide for
277 spotted owls but there is no empirical evidence to support this claim. They also assume
278 that retaining one-third to one-half of DFs on public lands in dense forest condition is
279 sufficient for spotted owls; however, only about half the forest landscape is publicly

280 owned in the BLM checkerboard lands of western Oregon. Since many private forest
281 lands are managed under short rotations, maintenance of this amount of public lands as
282 dense forests represents only one-fourth to one-sixth of the entire forest landscape. To
283 compound this problem, survival rates of owls decline dramatically when home ranges
284 include <50-60% late-successional forest (Franklin et al. 2000, Olson et al. 2004, Dugger
285 et al. 2005). Unfortunately, the DF provisions call for extensive thinning in the Klamath
286 Province where spotted owl populations are most numerous and currently most stable
287 (Forsman et al. 2011). Pilot projects on BLM lands also have proposed controversial
288 VRHs and thinning in critical habitat in mature MF (>80 years), leading to incidental take
289 of owls (Table 1; photo plates).

290

291 Thinning in mature forests (>80 years) also has been shown to have negative effects on
292 the abundance of the owls' primary prey species, including northern flying squirrels
293 (*Glaucomys sabrinus*; Waters and Zabel 1995; Carey 2000, 2001; Gomez et al. 2005;
294 Wilson 2010; Manning et al. 2012, Wilson and Forsman 2013), red-backed voles
295 (*Myodes rutilus*; Suzuki and Hayes 2003), and red tree voles (*Arborimus longicaudus*;
296 Swingle and Forsman 2009, Wilson and Forsman 2013). Further, thinning affects the
297 composition and biomass of hypogeous fungi (Gomez et al. 2003), an important food
298 item for flying squirrels and other small mammals. The food web of mycorrhizal
299 fungi/small mammals/spotted owls is an important ecosystem function (Maser et al.
300 1978), and it should receive more attention if forest restoration is truly the goal. Franklin
301 and Johnson (2012) note only one of the above references, but acknowledge likely
302 restrictions given the potential effects of thinning on small mammals as spotted owl prey.

303

304 Vegetative changes created by commercial thinning of mature MF and extensive thinning
305 (one-half to two-thirds as proposed by ecological forestry) in DFs will likely favor barred
306 owls (*Strix varia*) that use younger and more open forest stands (Wiens 2012). This, in
307 turn, will increase competitive pressures on spotted owls (Dugger et al. 2011). It is
308 unknown whether there are thinning approaches that will not have these negative effects,
309 or whether there will be ample research funds to address this question. This concern
310 needs to be studied in more detail before commercial thinning is implemented beyond the
311 pilot projects on BLM lands.

312

313 Notably, at least for California spotted owls, they select high-severity fire areas
314 (unsalvaged) for foraging (Bond et al. 2009), have higher reproduction successes in
315 mixed-severity fire areas than in unburned forests (Roberts et al. 2011), mixed-severity
316 fire without post-fire logging does not reduce occupancy (Lee et al. 2012) nor does it
317 change home-range size (Bond et al. 2013). Thus, whether active management is needed
318 in owl habitat for fire concerns remains questionable. Moreover, estimates of forest
319 disturbance by fire versus natural regrowth in dry forest provinces within the region show
320 an increasing amount of older, closed canopy forests at the landscape scale even with fire
321 (Hanson et al. 2009, Odion et al. in review). Only when the ratio of stand replacing fire to
322 forest regrowth is >1 do closed canopy forests decrease over time. Thus, fire would have
323 to increase about 5 times the current rates in dry provinces before this ratio would switch
324 to a decreasing state (Odion and Hanson 2013, Odion et al. in review). Consequently, the
325 assumptions of high fire risk to closed canopy forests and fire as a risk to spotted owls

326 that are continually used to justify ecological forestry appear to be considerably
327 overstated and lack empirical evidence.

328

329 *6. Lack of Recognition for Natural Pathways to Complex Early Seral Forests*

330 An important tenet of ecological forestry is that VRHs are needed to produce timber
331 volume while creating early seral habitat for wildlife. VRHs can be an improvement over
332 clearcutting practices depending on structural retentions, but they remain untested
333 hypotheses regarding benefits to early seral communities. Franklin and Johnson omit
334 natural pathways to complex early seral forests and this alternative approach to generate
335 early seral is missing from the BLM pilots. Instead, the contemporary pattern of early
336 seral forests generated by commercial logging has resulted in widespread distribution of
337 more simplistic forests across large landscapes (e.g., “checkerboard” BLM ownerships in
338 southern Oregon) and presumably a lack of complex early seral forests generated by
339 natural disturbance processes (Swanson et al. 2011, DellaSala et al. in press). Notably,
340 some rare wildlife species such as the black-backed woodpecker (*Picoides arcticus*)
341 respond positively to complex early seral habitat created by natural disturbance but
342 negatively to early seral created by even-aged logging (Hutto 2008). The same appears to
343 be true for spotted owls (Lee et al. 2012). Complex early seral forests created by high-
344 severity fire also support species richness comparable to old-growth forests but this stage
345 is ephemeral (lasting <20 years) as conifer crowns close off understory development
346 (Fontaine et al. 2009, Swanson et al. 2011, Donato et al. 2012, DellaSala et al. in press).

347

348 Generally, the only known pathway to complex early seral forests is to allow them to go
349 through succession unimpeded following natural disturbance (Swanson et al. 2011,
350 DellaSala et al. in press). Post-fire logging can adversely affect conifer regeneration
351 (Donato et al. 2006), wildlife habitat (Noss and Lindenmayer 2006, Hutto 2008), soils
352 (DellaSala et al. 2006), survival and territory occupancy of spotted owls (Clark et al.
353 2011, Lee et al. 2012, Clark et al. in press), and aquatic ecosystems (Karr et al. 2004),
354 retarding development of complex early seral forests. Interestingly, post-fire logging
355 represents significant timber volume on BLM lands with some BLM districts reporting
356 27.5% of Annual Sale Quantity (1995-2006) from “mortality salvage” (e.g., Lakeview
357 BLM District; www.blm.gov/or/districts/salem/plans/salemrmp.php; accessed July 12,
358 2013). Much of this volume came from forests likely to have complex early seral features
359 such as those in Key Watersheds, Late Successional Reserves, and Riparian Reserves—
360 areas with large, old trees killed by fire or insects are the best places to naturally
361 regenerate complex early seral forests (Swanson et al. 2011). Cessation of post-fire
362 logging would certainly help compensate for the likely under-representation of complex
363 early seral forests across the landscape and alleviate the perceived need to create them
364 silviculturally.

365

366 *7. Landscape Context Is Often Neglected During Implementation*

367 When it comes to context, managers need to see the forest not just for the trees but for the
368 landscape (Figure 3) before deciding on stand-level prescriptions. For instance, BLM
369 pilots are nested in a landscape highly fragmented by roads and clearcuts and thus
370 creating early seral through VRH at the stand level is not necessary given it is not in short

371 supply nor is VRH a substitute for natural disturbance processes. Additional harvests in
372 remaining older forests to create early seral would also result in cumulative impacts to
373 late-successional species and further contribute to the successional debt of older forests.
374 A more fragmented landscape – where remaining mature forest blocks are broken up into
375 smaller and structurally simplistic patches (Figure 3) lacking interior conditions - is also
376 likely to facilitate barred owl invasions (Wiens 2012) and may exacerbate predation of
377 marbled murrelet nest sites by corvids (Malt and Lank 2009).

378

379 **Conclusions and Recommendations**

380

381 While Franklin and Johnson (2012) offer ecological forestry as a new paradigm for
382 federal lands in the Pacific Northwest, key elements of their proposal and the way it is
383 being implemented by managers conflict with conservation biology, ecological
384 restoration, and prudent management of aquatic and wildlife resources. The most
385 significant shortcomings of their approach are that it is driven largely by economic
386 returns and political pressures, uses an inappropriate baseline for evaluating restoration,
387 will degrade habitat for spotted owls and many other late-seral species, will increase
388 aquatic impacts from extensive thinning and road networks, and may create novel
389 ecosystems that may flip ecosystem dynamics to altered states with undesirable
390 consequences to biological diversity. Implementation problems with the pilot projects
391 further demonstrate how approaches lacking in well-defined tree age cut-offs create
392 mistrust, greater need for multi-disciplinary monitoring, and scientific input from forest
393 and wildlife ecologists.

394 We offer 14 recommendations to improve the framework and its implementation:

395 (1). Adhere to the NWFP standards and guidelines, especially the reserve network and
396 riparian and watershed conservation measures in the Aquatic Conservation Strategy as
397 there have been measurable improvements to watersheds under this strategy (Reeves et
398 al. 2006).

399 (2). In MF and areas with mixed-severity fires, prohibit thinning in forests >80 years and
400 prohibit VRHs in spotted owl and marbled murrelet critical habitat. There is scientific
401 precedent for this age threshold (FEMAT 1993); mature forests are in short supply
402 regionally (Strittholt et al. 2006), are the only precursors to old-growth forests, and are
403 habitat for these and other imperiled late-seral species such as red-tree voles. Lacking
404 specific prohibition on harvesting of mature forests, we anticipate continued conflict over
405 ecological forestry as evidenced by the BLM pilots.

406 (3). If experiments with VRHs are done, they should be confined to previously managed
407 stands <80 years outside critical habitat for any listed species or species of concern. The
408 effects on early seral species should be addressed.

409 (4). In DF, if thinning is conducted in a particular location due to land managers' concern
410 about hazardous fuels, use an upper cut limit (trees \leq 80 years or trees <21 in dbh;
411 "eastside screens" USDA 1995) in order to protect large trees that are scarce (Henjum et
412 al. 1994, van Pelt 2008), and to remove small trees for fire concerns (Martinson and Omi
413 2003). Do not alter the composition of multi-strata stands with large trees or single-
414 stratum stands with large fire-intolerant white firs (*Abies concolor*) below their natural
415 range of variability (e.g., as in the existing eastside ecosystem strategy guidelines in
416 place, http://www.fs.usda.gov/Internet/FSE_DOCUMENTS/fsbdev3_033053.pdf;

417 [accessed July 12, 2013](#)). Include snag creation (Hanson et al. 2010) of larger white firs to
418 shift species composition in fire-suppressed forests.

419 (5). Prioritize managed wildland fire and prescribed fire for ecological restoration.

420 (6). Retain at least 60% canopy closure in DFs (USDI Fish & Wildlife Service 2012) and
421 >50% late-successional forests at the territory scale (Franklin et al. 2000, Olson et al.
422 2004, Dugger et al. 2005) for spotted owls and other species associated with closed
423 canopy, older forests. Include high densities of large snags and small/medium-sized trees
424 for late-seral wildlife like Pacific fishers (*Martes pennanti*; Zielinski et al. 2006) and
425 spotted owls (Pidgeon 1995, North et al. 1999), and high snag basal area for black-
426 backed woodpeckers (Hutto 2008).

427 (7). Avoid creation of novel ecosystems by using both back casting (e.g., stand age
428 reconstructions) and forecasting (e.g., downscaled climate change models) techniques to
429 set restoration targets. We are not suggesting that ecosystems return to some specific past
430 condition; however, clearly defined baselines with historical context or comparable
431 reference areas of high ecological integrity should be a restoration pre-requisite in order
432 to avoid creation of novel ecosystems.

433 (8). Fully assess impacts of “ecological forestry” and ensure forest restoration addresses
434 the complete range of ecological concerns, including reductions in carbon stores caused
435 by VRHs and thinning (Campbell et al. 2011); soil compaction; reduced recruitment of
436 dead wood; invasive species, roads, and forest fragmentation.

437 (9). Restore hydrological functions to areas damaged by roads through road obliteration
438 and recontouring of the road prism, and prohibit post-fire logging in riparian reserves and
439 Key Watersheds.

440 (10). Support well designed and fully funded experiments to resolve conflicts over
441 thinning to spotted owls, prey species, and barred owl invasions.

442 (11). Develop a finer classification system than moist/dry to resolve uncertainties and
443 place forests with mixed-severity systems in the MF category to limit inappropriate
444 thinning. Forest classifications need to correlate more specifically with plant association
445 groups, site-specific factors, and historical fire regimes before conclusions can be drawn
446 on appropriate management, particularly in mixed-severity systems (Perry et al. 2011,
447 Halofsky et al. 2011). This issue should be periodically reviewed given emerging
448 evidence of climate change.

449 (12). Conduct research to estimate historical amount and distribution of complex early
450 seral forests versus current spatio-temporal distribution of simple and complex early seral
451 forests to document any current deficiencies and differences in forest quality (Odion and
452 Hanson 2013).

453 (13). Prohibit post-fire logging and replanting after disturbance to ensure adequate
454 structure and composition of complex early seral forests.

455 (14). Incorporate landscape context in environmental assessments to determine
456 cumulative effects of thinning and logging on late-seral species and distribution of
457 complex early seral forests.

458

459 Franklin and Johnson state that stakeholders have created polar opposites for federal
460 lands – either managing them for intensive wood production or for spotted owls.
461 However, the NWFP was designed to meet viability requirements of >1,000 late-
462 successional species – not just owls - and is a compromise between these two competing

463 views. Many scientists and conservation groups have offered ways to restore forests
464 beyond thinning (DellaSala et al. 2003) have proposed thinning measures with less
465 impact (Kerr 2012), and other active management approaches that constitute more
466 comprehensive restoration measures (Hanson et al. 2010). Ecological forestry as
467 currently conceived will create more tension over management of federal forests than it
468 resolves, drawing question to its adequacy as an ecologically credible framework. While
469 we have presented ecological concerns, others have identified significant controversy in
470 policies that seek to increase timber volume by overturning environmental protections
471 (Blumm and Wigington 2013). This is especially the case for BLM lands in western
472 Oregon because these lands have a history of over-cutting and recent proposals to
473 undermine the NWFP; thus, increased logging would come at a significant expense to
474 important ecological values already in short supply and public trust.

475

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480

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723

724 Table 1. BLM ecological forestry pilots in moist forests of western Oregon using variable
 725 retention regeneration harvests (VRHs), commercial thin (CT), and density management
 726 (DM). Monitoring data provided by F. Eatherington, Cascadia Wildlands.

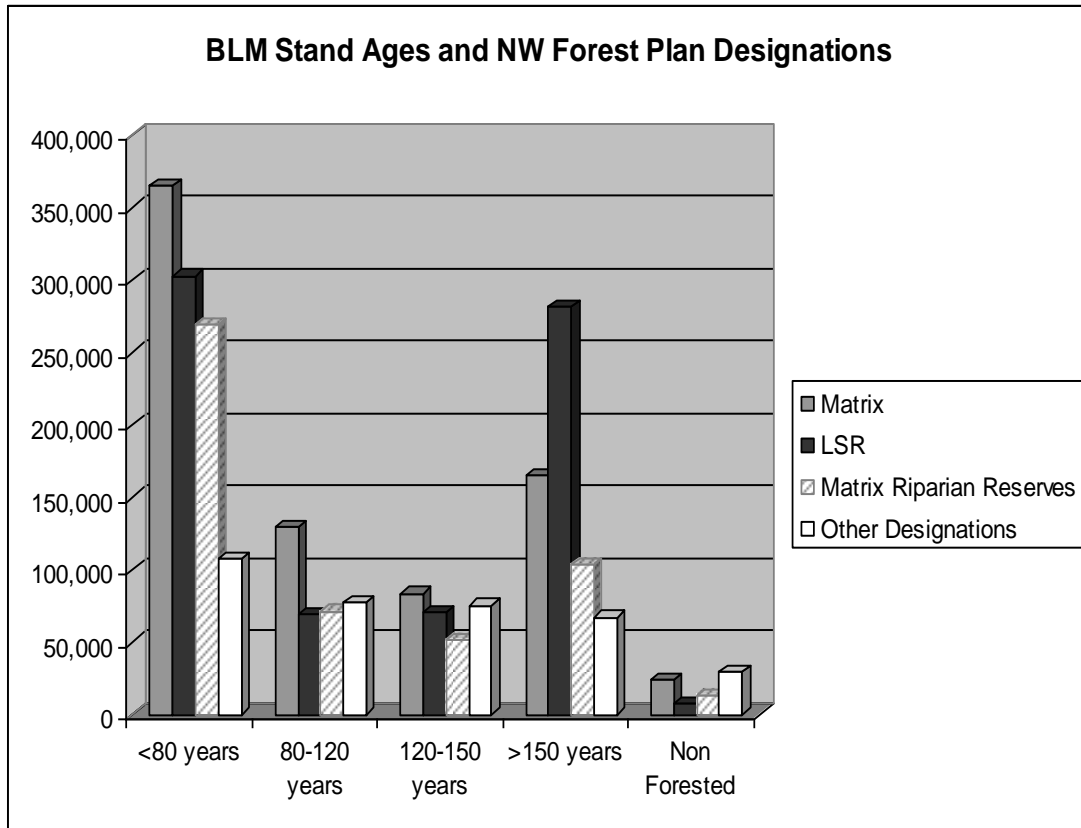
District	Location	Treatment	Ecological Shortcomings	Status
Roseburg	Myrtle Creek	3,145 acres total pilot; 500 acres VRH, remaining areas CT and DM	Oldest units ~75-124 years; mostly spotted owl critical habitat	Scoping – no Environmental Assessment yet
	Camas Valley 2011 Harvest Plan	1574 acres of CT and 239 acres of VRH	Some spotted owl critical habitat, mostly <70 years	No Environmental Assessment yet
	White Castle	187 acres of VRH	Mature forest ~ 110 years old; critical spotted owl habitat; suitable spotted owl habitat, and core owl areas	Sold and under appeal. Part of Roseburg District demonstration pilot
	Buck Rising	60 acres of VRH and 19 acres of DM	Mostly young forests but includes spotted owl critical habitat	Protest Denied. Logging in progress. Part of

				Roseburg District demonstration pilot
Coos Bay	Soup Creek	300 acres of VRH	Mostly owl critical habitat, ~72 years old, previously commercially thinned	Scoping
	Wagon Road	121 acres of VRH	Formerly considered spotted owl critical habitat in the 1992 determination. Includes a 9-acre alder conversion next to old growth Port Orford cedar (<i>Chamaecyparis lawsoniana</i>) and 450-year old occupied marbled murrelet (<i>Brachyramphus</i>	Appealed and sold

			<i>marmoratus</i>) habitat. Incidental “take” of 4 spotted owls.	
Eugene	Upper Willamette	2,000 acres of regeneration harvest and CT	Variable retention on 350 acres of a forest 80-90 years old. Regeneration harvest on stands infected with laminated root rot that would otherwise create high-quality early-seral habitat.	Scoping

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730 Figure 1a. Stand ages and Northwest Forest Plan land-use allocations (LUAs, units in
 731 acres) for BLM lands in western Oregon based on BLM Oregon Forest Cover Operations
 732 Inventory (<http://www.blm.gov/or/gis/data-details.php?data=ds000045>; accessed July 12,
 733 2013)^{†, ††, †††}. No distinction is made between dry vs. moist forest
 734 types as Senator Wyden’s principles do not differentiate these forest types.

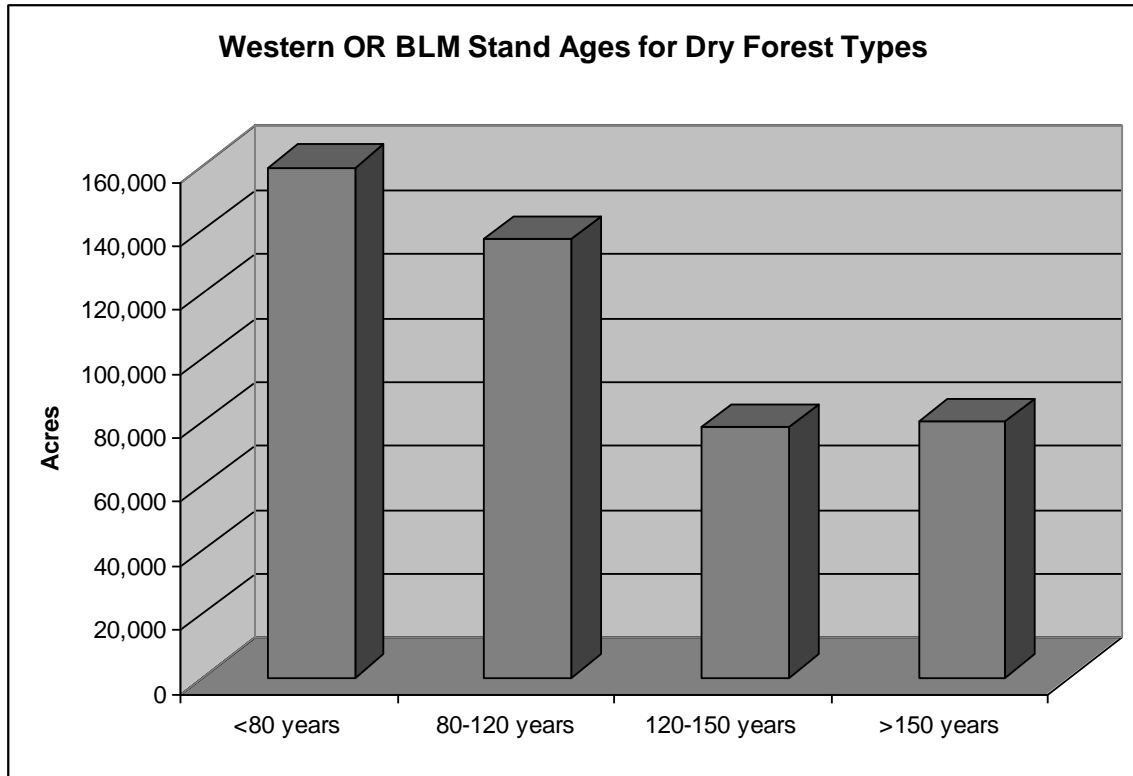
735

736 [†] BLM GIS data are most accurate in identifying forests <80 years followed by >150
 737 years with lower levels of accuracy for intermediate age classes. Age classifications in
 738 southwest Oregon are not as accurate as other regions due to complexity and diversity of
 739 stands.

740 ^{††} BLM data also include 153,000 acres of null value acres. These are predominately non-
741 forested areas such as lakes and meadows. A small percentage of these stands should
742 have been assigned stand age data as they are forested.

743 ^{†††} BLM stand age data extends farther east than our study area and into the Klamath
744 Falls region.

745



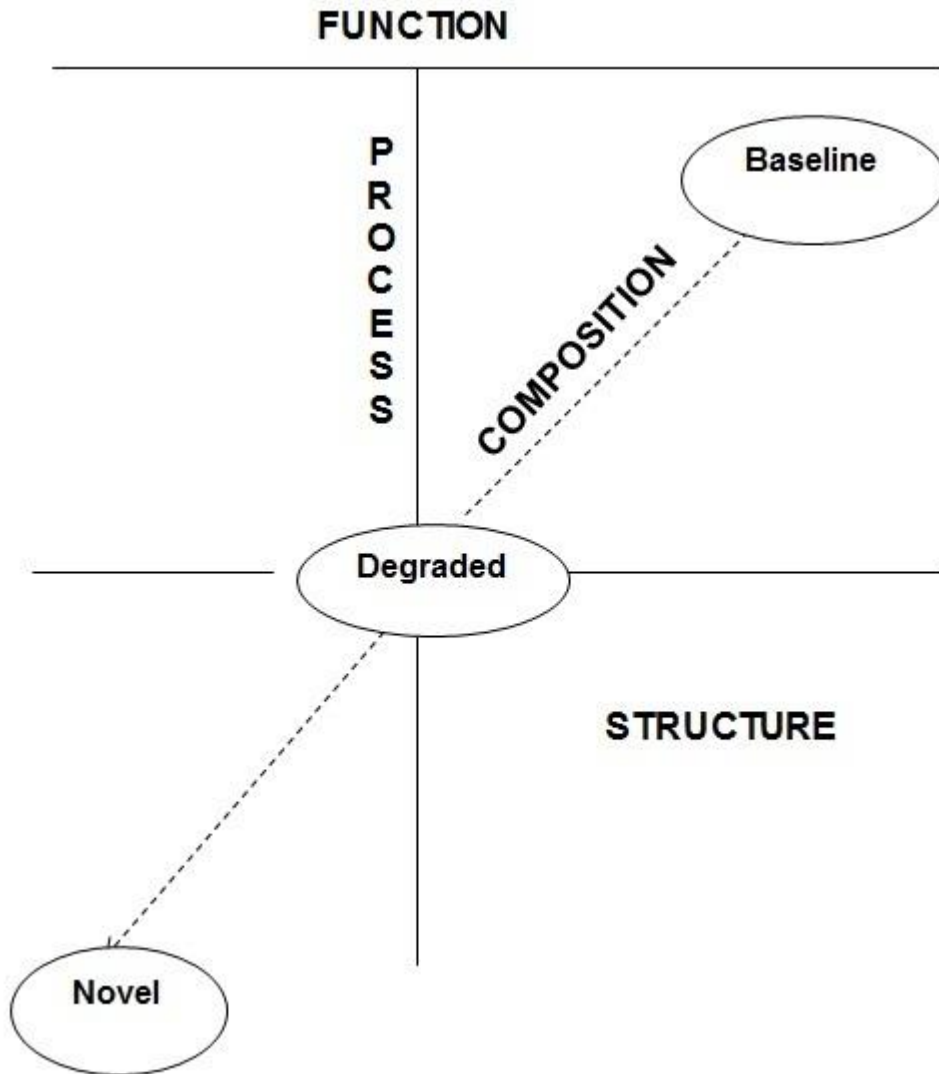
746

747 Figure 1b. Stand ages for dry forest types on western Oregon BLM lands. †,††

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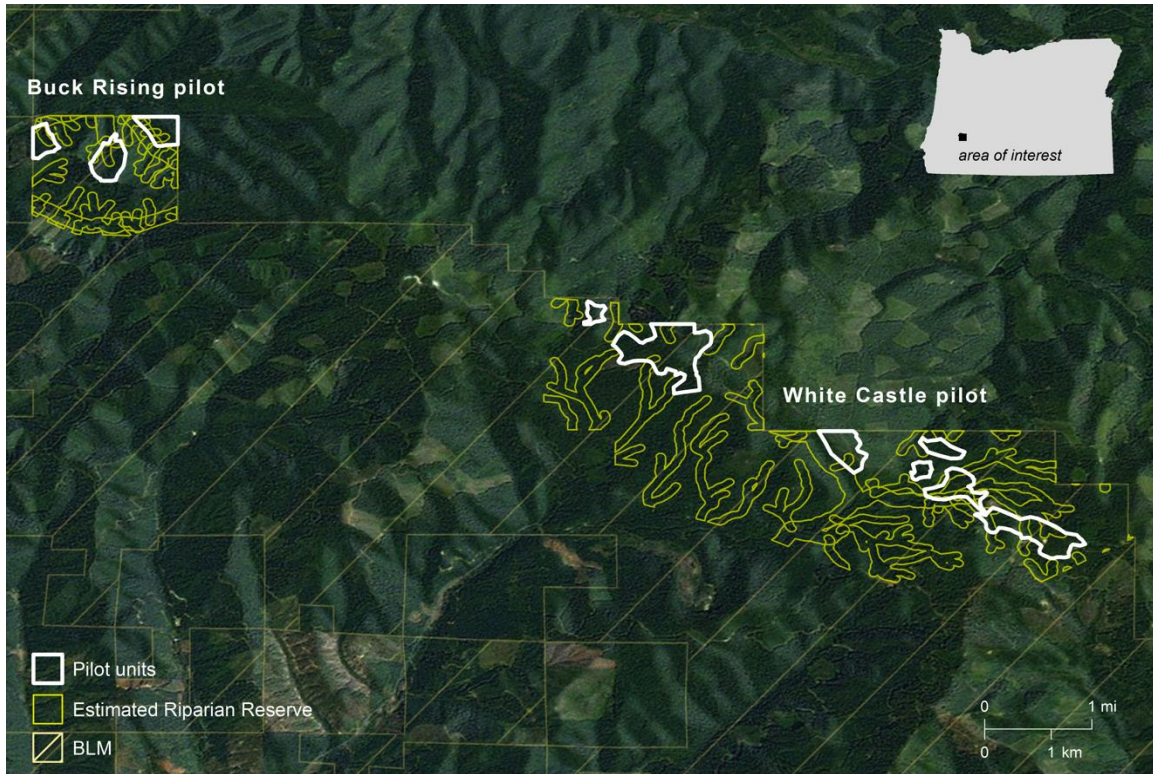
749 †The 159,400-acre of forests <80 years includes 67,200 acres of stands classified by the
 750 BLM as having a dominant age <80 years but with a minority component of trees >80
 751 years old.

752 †† Oregon Gap Analysis 1998 Land Cover for Oregon GIS data was used as the source
 753 data to differentiate moist vs. dry forest types and to mimic the moist-dry breakdowns in
 754 Franklin and Johnson (2012). The source data should not be considered an exact match
 755 given it is a general overview of plant association groups that we then grouped as moist
 756 or dry. This was not an ideal dataset for our study area given classification errors. One
 757 example is that the source data incorrectly classified a number of forestlands as
 758 agricultural lands in the Roseburg area.



759

760 Figure 2. Restoration schematic for forest ecosystems based on comparisons of degraded
 761 vs. baseline sites with respect to forest structures, functions, processes, and species
 762 composition. Ecological restoration would move a site from low (degraded) to high
 763 ecological integrity (upper right) based on comparisons to historic baseline or reference
 764 area of high ecological integrity (DellaSala et al. 2003).



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766 Figure 3. Landsat view of BLM pilots in southwest Oregon showing highly fragmented
 767 landscape view with BLM cut units (white polygons) in variable retention harvests and
 768 adjoining Riparian Reserve (linear polygons) in “density management” within a
 769 surrounding landscape of mostly early seral created by logging. Northwest units (3) are
 770 the Buck Rising pilot; other units are in the White Castle pilot. Datasources: Esri, BLM,
 771 USDA, i-cubed.

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